

# Applied Cryptology

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Keep in mind there are *two* PDFs available (of which this is the latter):

1. a PDF of examinable material used as lecture slides, and
2. a PDF of non-examinable, extra material:
  - ▶ the associated notes page may be pre-populated with extra, written explanation of material covered in lecture(s), plus
  - ▶ anything with a “grey’ed out” header/footer represents extra material which is useful and/or interesting but out of scope (and hence not covered).

Notes:

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► **Agenda:** explore **implementation attacks** via

1. an “in theory”, i.e., concept-oriented perspective,
  - 1.1 explanation,
  - 1.2 justification,
  - 1.3 formalisation.
 and
2. an “in practice”, i.e., example-oriented perspective,
  - 2.1 attacks,
  - 2.2 countermeasures.

► **Caveat!**

~ 2 hours ⇒ introductory, and (very) selective (versus definitive) coverage.

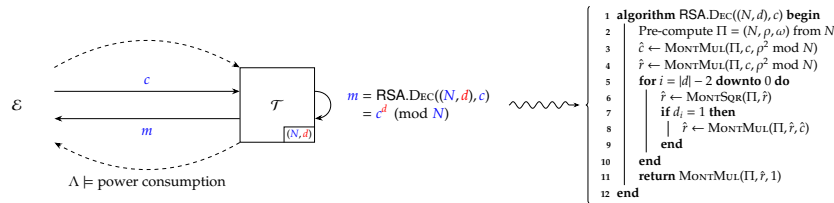
Notes:

**Part 2.1: in practice (1)**

Attacks:  $\mathcal{T} \simeq \text{RSA}$ ,  $\Lambda = \text{power consumption}$

► **Scenario:**

- given the following interaction between an **attacker**  $\mathcal{E}$  and a **target**  $\mathcal{T}$



► and noting that

- there are no countermeasures implemented,
- a dedicated Montgomery squaring implementation, i.e.,

$$\text{MONTMUL}(\Pi, \hat{r}) = \hat{r} \times \hat{r} \times \rho^{-1} \pmod{N},$$

is used, such that although

$$\text{MONTMUL}(\Pi, \hat{r}) = \text{MONTMUL}(\Pi, \hat{r}, \hat{r}),$$

the former is more efficient than the latter,

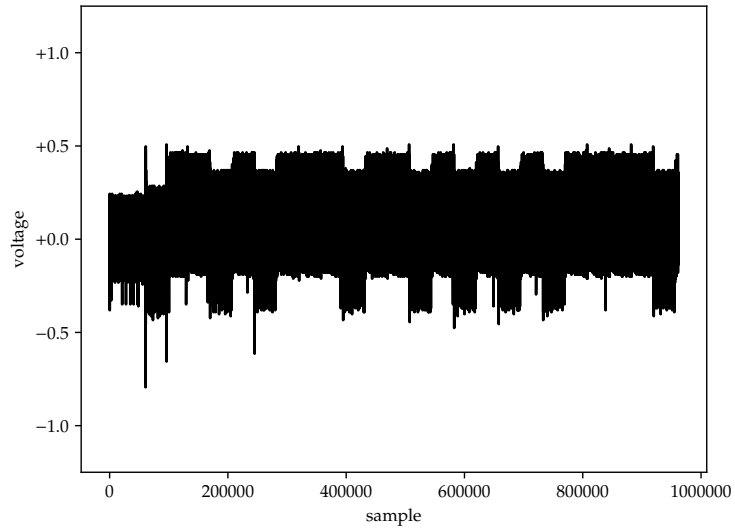
- the Montgomery squaring and Montgomery multiplication implementations are FIOS-based [10],
- how can  $\mathcal{E}$  mount a successful attack, i.e., recover  $d$ ?

Notes:

## Part 2.1: in practice (2)

Attacks:  $\mathcal{T} \approx \text{RSA}$ ,  $\Lambda = \text{power consumption}$

► **Example:** ARM Cortex-M3,  $|N| = 1024$ .

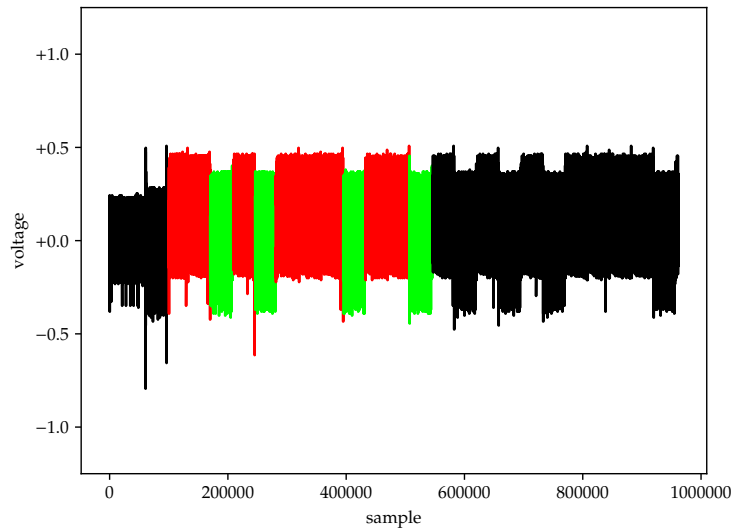


Notes:

## Part 2.1: in practice (2)

Attacks:  $\mathcal{T} \approx \text{RSA}$ ,  $\Lambda = \text{power consumption}$

► **Attack [11]:**

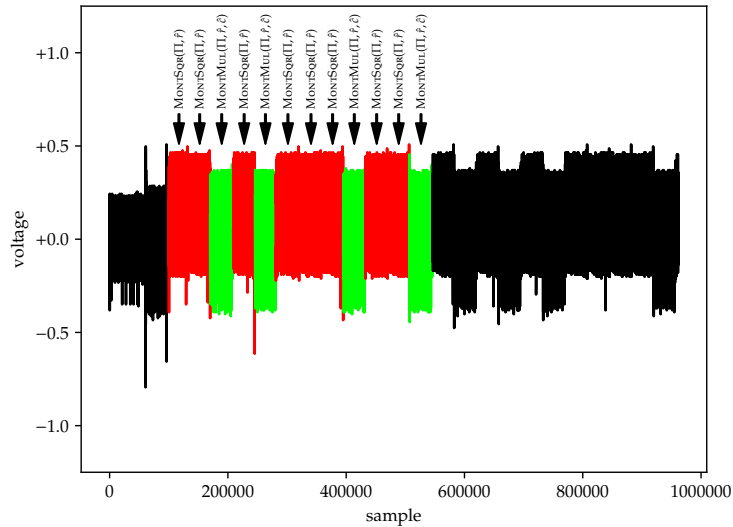


Notes:

## Part 2.1: in practice (2)

Attacks:  $\mathcal{T} \approx \text{RSA}$ ,  $\Lambda = \text{power consumption}$

### ► Attack [11]:



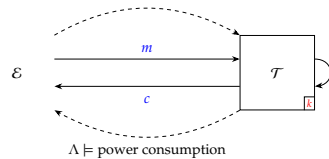
Notes:

## Part 2.1: in practice (3)

Attacks:  $\mathcal{T} \approx \text{AES}$ ,  $\Lambda = \text{power consumption}$

### ► Scenario:

- given the following interaction between an **attacker**  $\mathcal{E}$  and a **target**  $\mathcal{T}$



```

1 algorithm AES-128.Enc(k, m) begin
2   s ← m
3   s ← AddRoundKey(s, k = rk(0))
4   for r = 1 upto 9 step +1 do
5     k ← EvolveRoundKey(k, rk(r))
6     s ← SubBytes(s)
7     s ← ShiftRows(s)
8     s ← MixColumns(s)
9     s ← AddRoundKey(s, k = rk(r))
10  end
11  k ← EvolveRoundKey(k, rk(10))
12  s ← SubBytes(s)
13  s ← ShiftRows(s)
14  s ← AddRoundKey(s, k = rk(10))
15  c ← s
16  return c
17 end

```

### ► and noting that

- there are no countermeasures implemented,
- in the first round, the implementation computes

$$\text{S-box}(m_t \oplus k_t)$$

- for  $0 \leq t < 16$ : we can target this operation, and so recover each  $t$ -th byte independently,
- power consumption can be modelled by Hamming weight, i.e.,

$$\text{HW}(\text{S-box}(m_t \oplus k_t))$$

models the power consumption of the target operation (or result of it),

- how can  $\mathcal{E}$  mount a successful attack, i.e., recover  $k$  ?

Notes:

## Part 2.1: in practice (4)

Attacks:  $\mathcal{T} \approx \text{AES}$ ,  $\Lambda = \text{power consumption}$

### ► Attack [7]:

$$\begin{array}{l} M_0 \xrightarrow{\text{AES-128.Enc}(k, M_0)} \\ M_1 \xrightarrow{\text{AES-128.Enc}(k, M_1)} \\ \vdots \\ M_{t-1} \xrightarrow{\text{AES-128.Enc}(k, M_{t-1})} \end{array} \left( \begin{array}{cccc} \mathcal{R}_{0,0} & \mathcal{R}_{0,1} & \cdots & \mathcal{R}_{0,l-1} \\ \mathcal{R}_{1,0} & \mathcal{R}_{1,1} & \cdots & \mathcal{R}_{1,l-1} \\ \vdots & \vdots & \ddots & \vdots \\ \mathcal{R}_{t-1,0} & \mathcal{R}_{t-1,1} & \cdots & \mathcal{R}_{t-1,l-1} \end{array} \right)$$

Notes:

## Part 2.1: in practice (4)

Attacks:  $\mathcal{T} \approx \text{AES}$ ,  $\Lambda = \text{power consumption}$

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Notes:

## Part 2.1: in practice (4)

Attacks:  $\mathcal{T} \approx \text{AES}$ ,  $\Lambda = \text{power consumption}$

### ► Attack [7]:

$$\begin{array}{l}
 M_0 \rightsquigarrow \text{AES-128.Enc}(k, M_0) \\
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 \vdots \\
 M_{n-1} \rightsquigarrow \text{AES-128.Enc}(k, M_{n-1})
 \end{array}
 \left(
 \begin{array}{cccc}
 \mathcal{R}_{0,0} & \mathcal{R}_{0,1} & \cdots & \mathcal{R}_{0,j-1} \\
 \mathcal{R}_{1,0} & \mathcal{R}_{1,1} & \cdots & \mathcal{R}_{1,j-1} \\
 \vdots & \vdots & \ddots & \vdots \\
 \mathcal{R}_{n-1,0} & \mathcal{R}_{n-1,1} & \cdots & \mathcal{R}_{n-1,j-1}
 \end{array}
 \right)$$

$$\begin{array}{l}
 M_0 \rightsquigarrow \text{HW(S-box}(M_{0,j} \oplus \tilde{k}_j)) \\
 M_1 \rightsquigarrow \text{HW(S-box}(M_{1,j} \oplus \tilde{k}_j)) \\
 \vdots \\
 M_{n-1} \rightsquigarrow \text{HW(S-box}(M_{n-1,j} \oplus \tilde{k}_j))
 \end{array}
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$\underbrace{\quad \quad \quad}_{\tilde{k}_j = 0} \quad \underbrace{\quad \quad \quad}_{\tilde{k}_j = 1} \quad \cdots \quad \underbrace{\quad \quad \quad}_{\tilde{k}_j = h-1}$   
 $h = 2^8 = 256$  hypothetical values for  $k_j$

Notes:

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Attacks:  $\mathcal{T} \approx \text{AES}$ ,  $\Lambda = \text{power consumption}$

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some  $\tilde{k}_j = k_j$ , i.e., is correct

$$\begin{array}{l}
 M_0 \rightsquigarrow \text{HW(S-box}(M_{0,j} \oplus \tilde{k}_j)) \\
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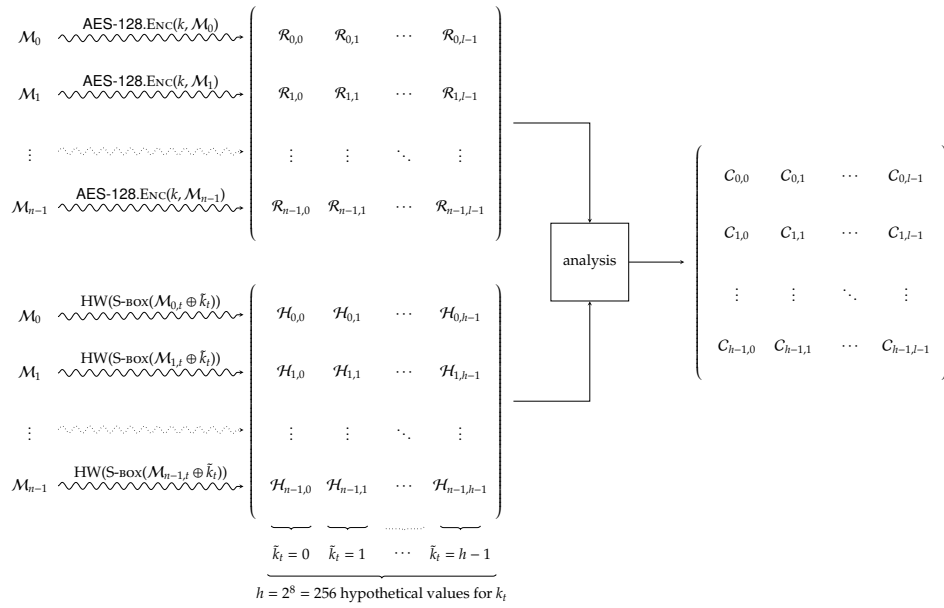
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Attacks:  $\mathcal{T} \approx \text{AES}$ ,  $\Lambda = \text{power consumption}$

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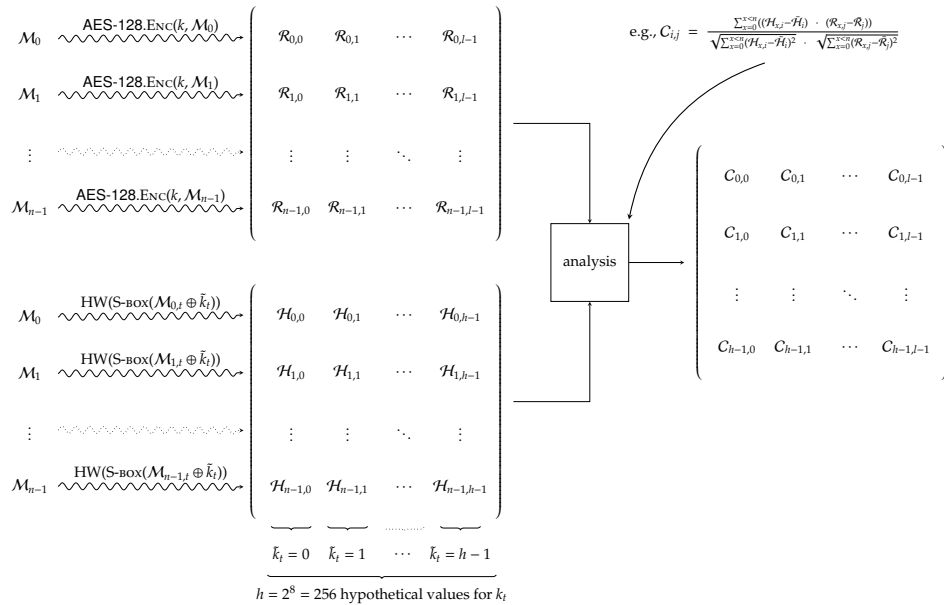


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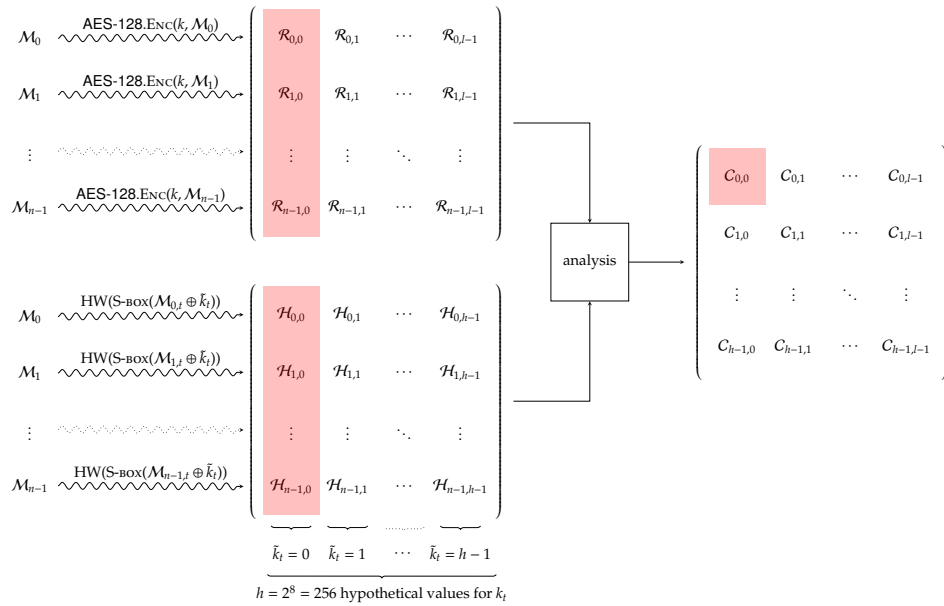


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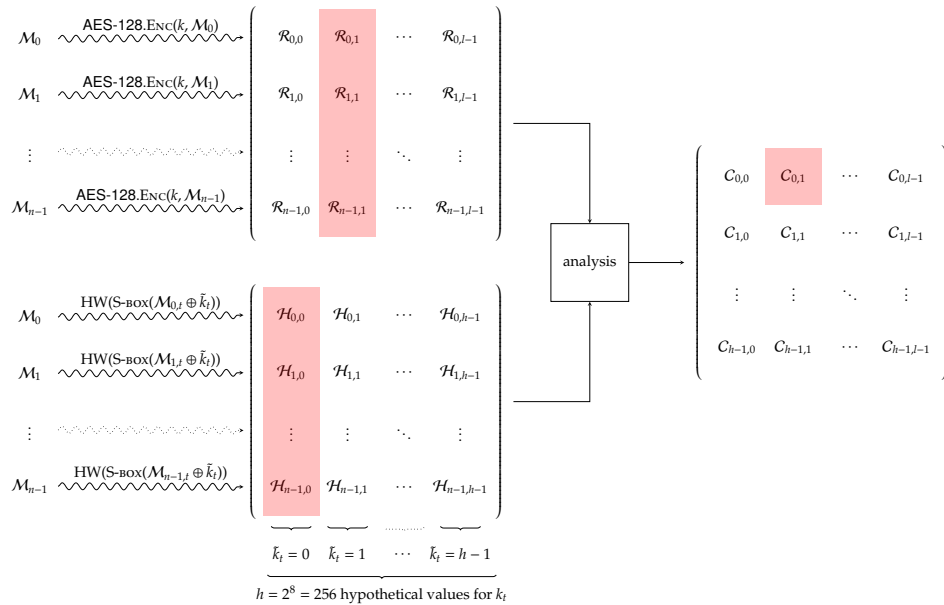


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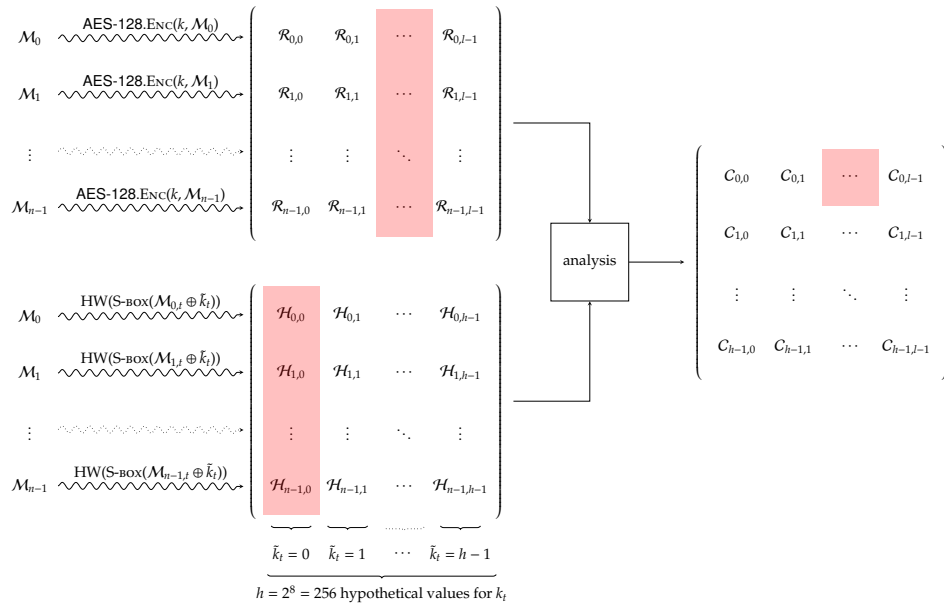
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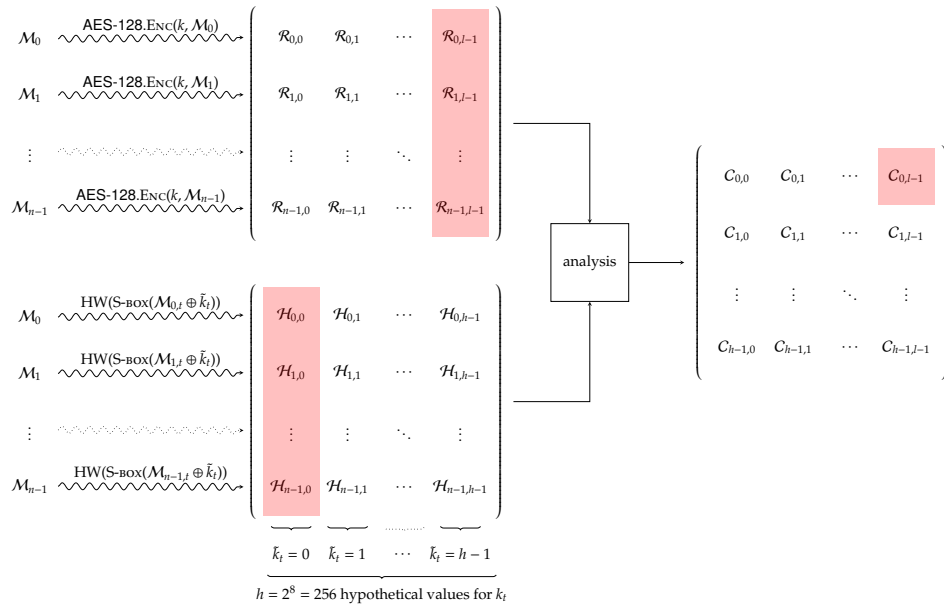


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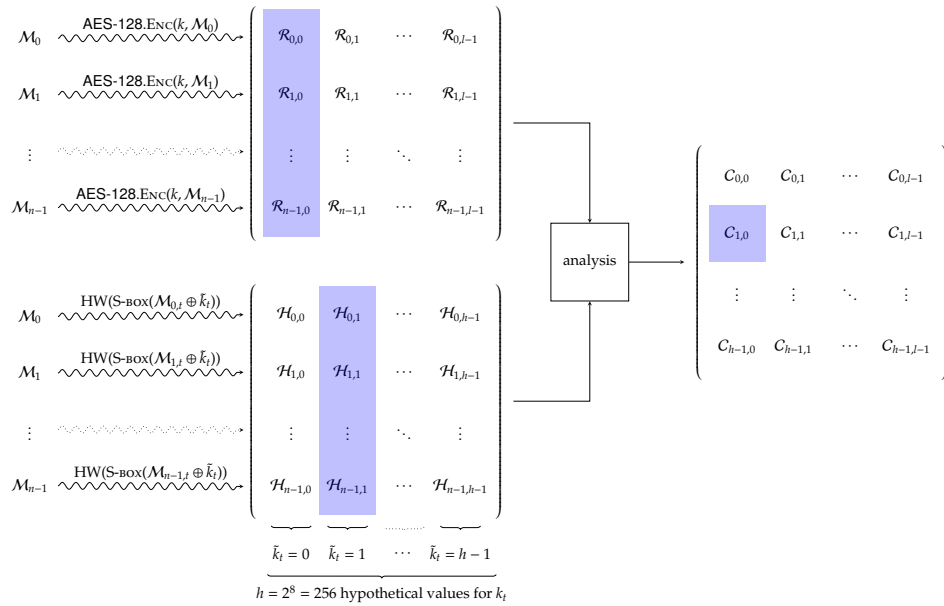


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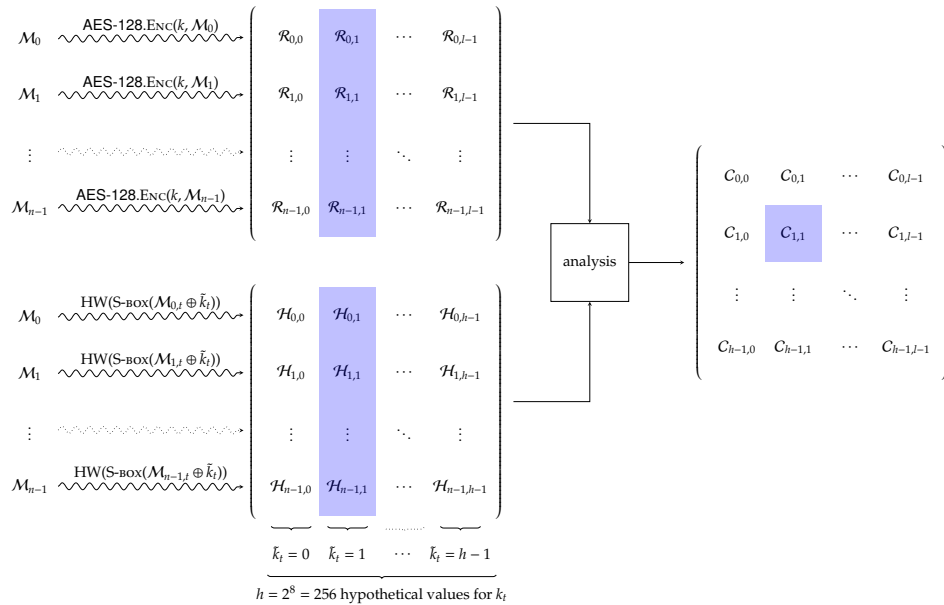


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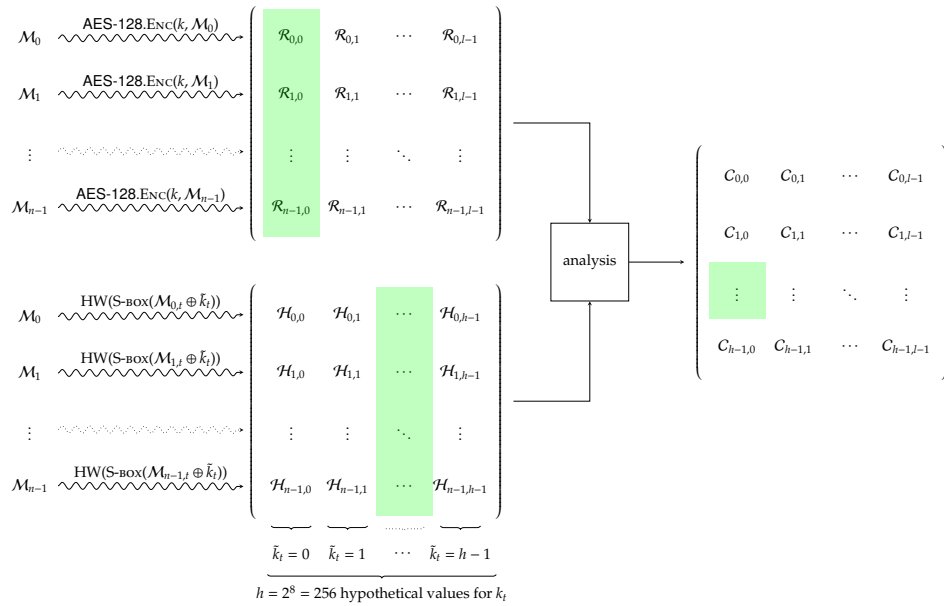
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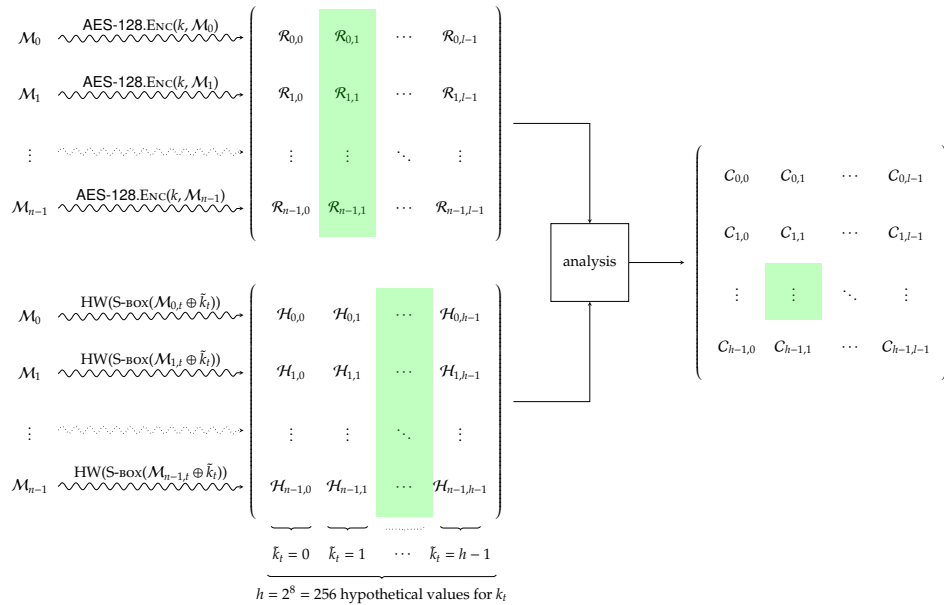


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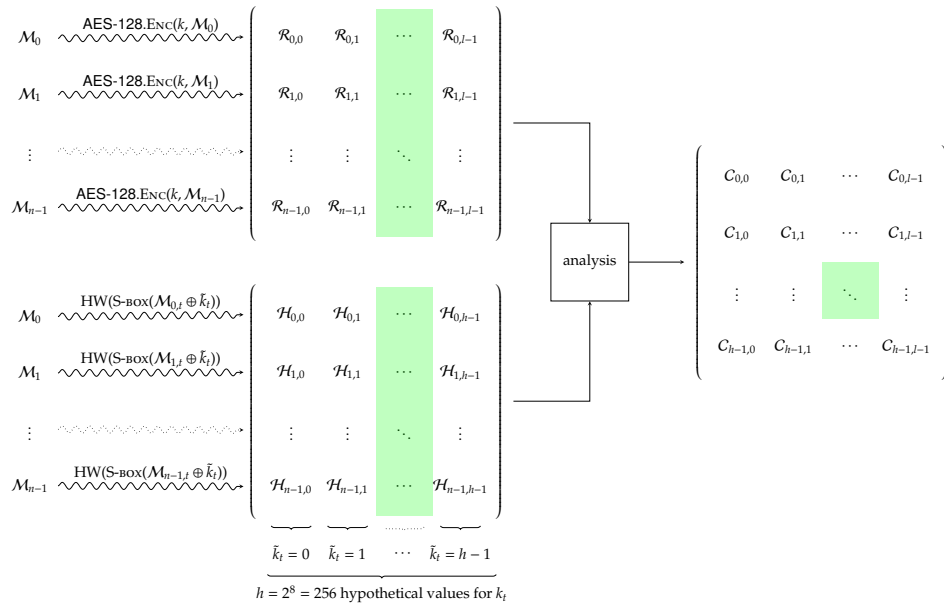


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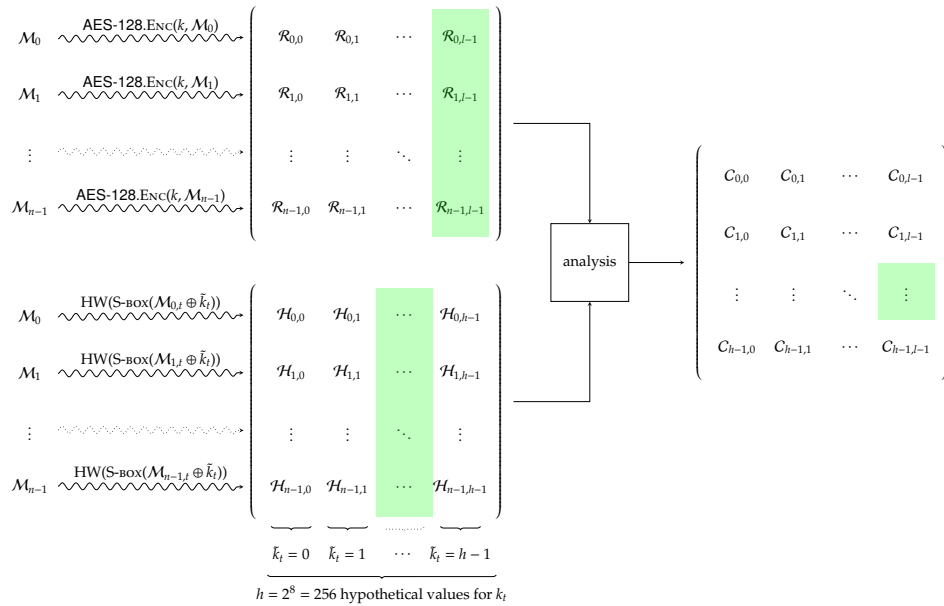


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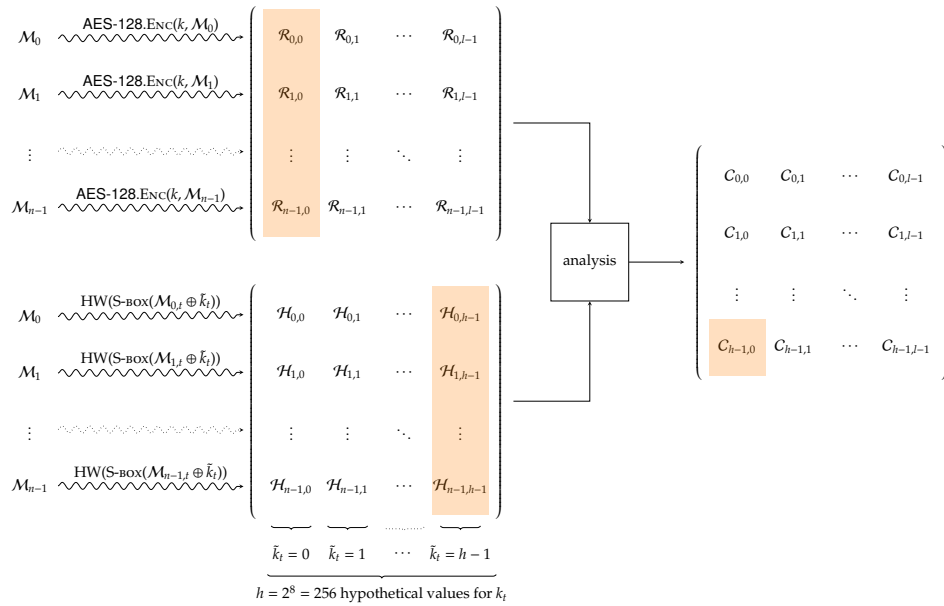


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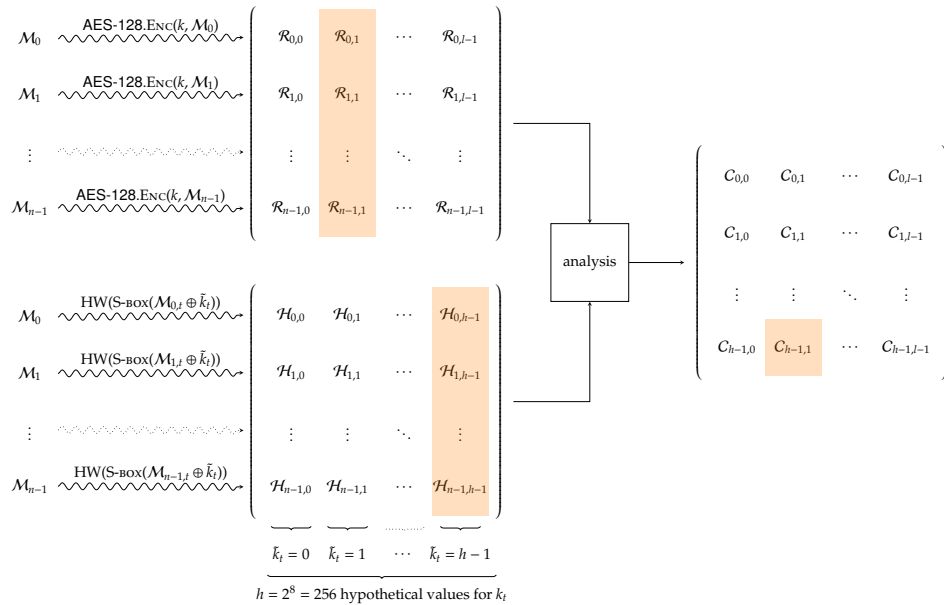


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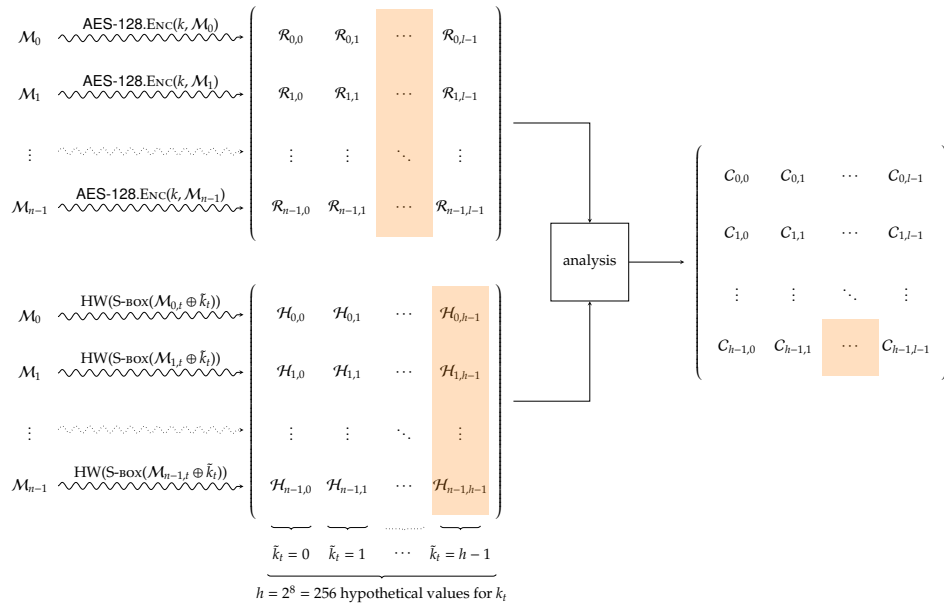


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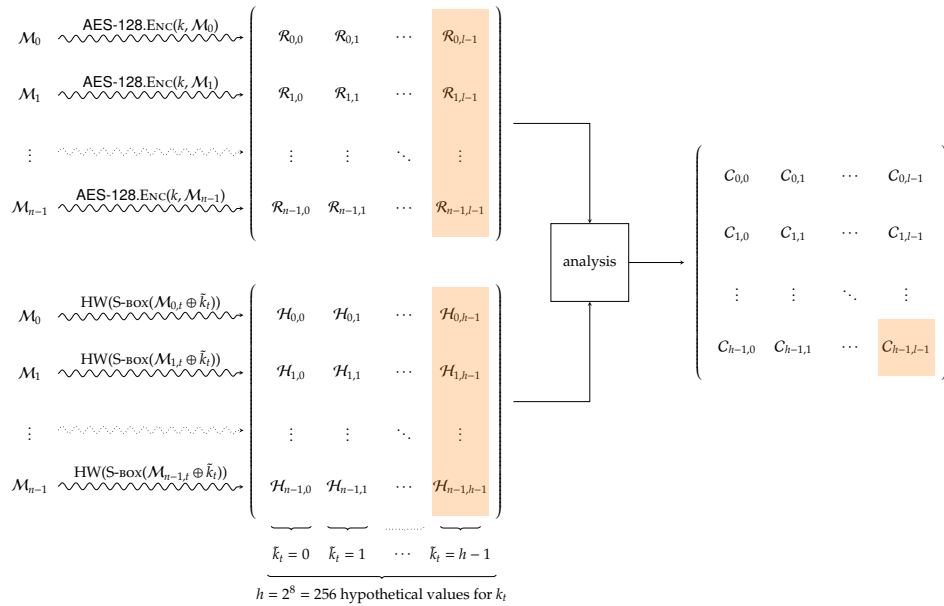


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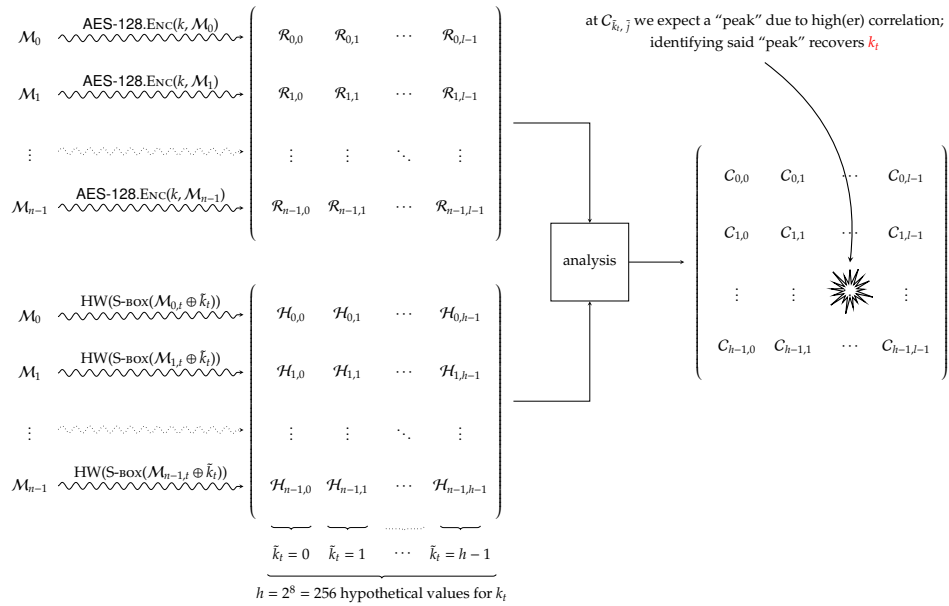


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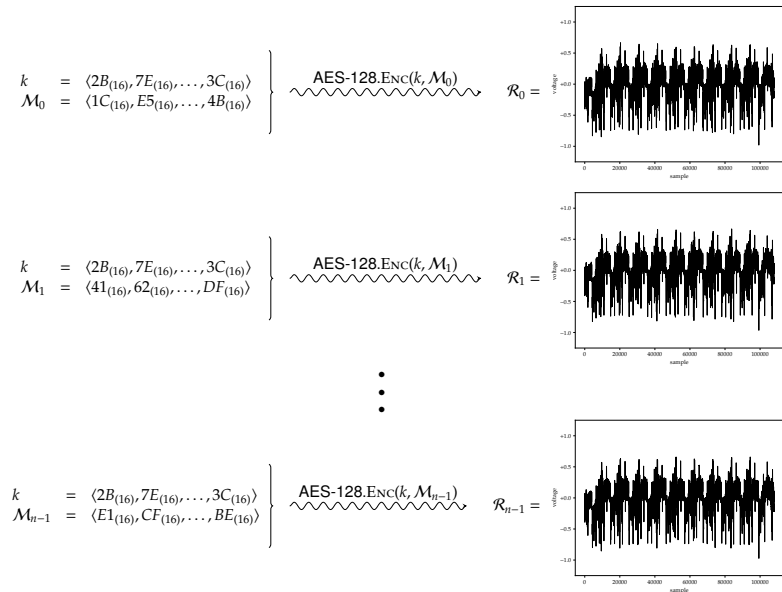


Notes:

## Part 2.1: in practice (5)

Attacks:  $\mathcal{T} \approx \text{AES}$ ,  $\Lambda = \text{power consumption}$

### ► Example: ARM Cortex-M3, $n = 1000$ , $l = 108124$ .

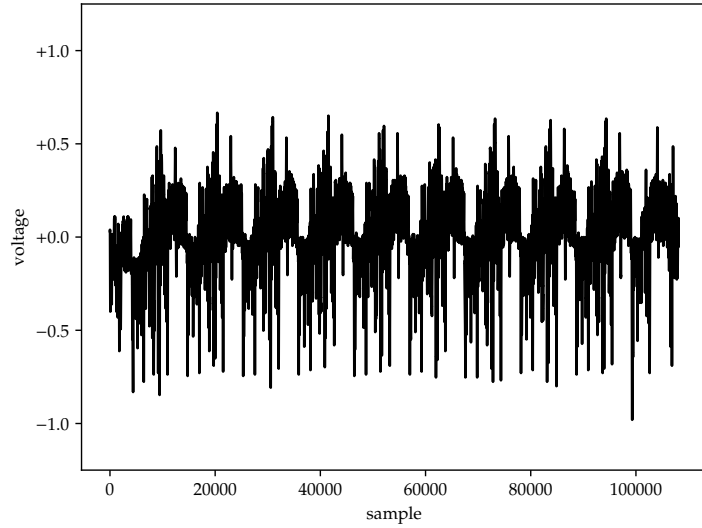


Notes:



Part 2.1: in practice (5)  
Attacks:  $\mathcal{T} \approx \text{AES}$ ,  $\Lambda = \text{power consumption}$

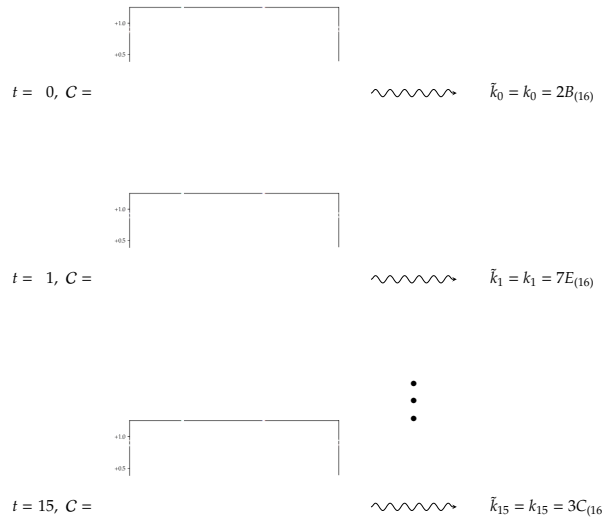
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Notes:

Part 2.1: in practice (5)  
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► Example: ARM Cortex-M3,  $n = 1000$ ,  $l = 108124$ .



Notes:

## Part 2.1: in practice (5)

Attacks:  $\mathcal{T} \approx \text{AES}$ ,  $\Delta = \text{power consumption}$

- ▶ **Example:** ARM Cortex-M3,  $n = 1000$ ,  $l = 108124$ .

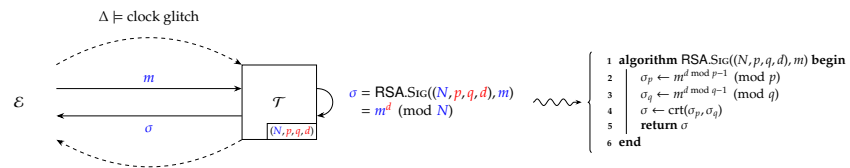


Notes:

## Part 2.1: in practice (6)

Attacks:  $\mathcal{T} \approx \text{RSA}$ ,  $\Delta = \text{clock glitch}$

- ▶ **Scenario:**
  - ▶ given the following interaction between an **attacker**  $\mathcal{E}$  and a **target**  $\mathcal{T}$



- ▶ and noting that
  - ▶ there are no countermeasures implemented,
  - ▶ to improve efficiency, a CRT-based [13] implementation is used,
  - ▶ based on pre-computation of

$$\begin{aligned} t_0 &= q^{p-1} \pmod{N} \\ t_1 &= p^{q-1} \pmod{N} \end{aligned}$$

the Gauss-based recombination is such that

$$\sigma = \text{crt}(\sigma_p, \sigma_q) = \sigma_p \times t_0 + \sigma_q \times t_1 \pmod{N},$$

- ▶ the fault induced randomises computation of  $\sigma_p$ , i.e., the first “small” exponentiation,
- ▶ how can  $\mathcal{E}$  mount a successful attack, i.e., recover  $d$ ?

Notes:

► **Attack** [6, Section 2.2]:

► generate

$$\begin{aligned} \bar{\sigma} &= \bar{\sigma}_p \times t_0 + \sigma_q \times t_1 \pmod{N} \Leftarrow \text{fault injected} \\ \sigma &= \sigma_p \times t_0 + \sigma_q \times t_1 \pmod{N} \Leftarrow \text{no fault injected} \end{aligned}$$

such that  $\bar{\sigma} \neq \sigma$  due to the fault induced in the former,

► observe that the CRT pre-computation means

$$t_0 \equiv 0 \pmod{q},$$

i.e.,  $t_0$  is divisible by  $q$ , and so, likewise,

$$(\sigma_p - \bar{\sigma}_p) \times t_0 \equiv 0 \pmod{q},$$

► compute

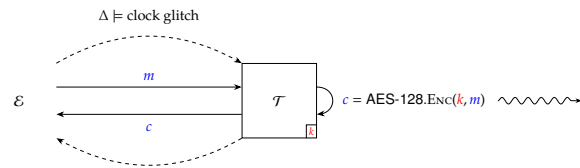
$$\begin{aligned} \text{gcd}(\sigma - \bar{\sigma}, N) &= \text{gcd}((\sigma_p \times t_0 + \sigma_q \times t_1) - (\bar{\sigma}_p \times t_0 + \sigma_q \times t_1), N) \\ &= \text{gcd}((\sigma_p - \bar{\sigma}_p) \times t_0, N) \\ &= \text{gcd}((\sigma_p - \bar{\sigma}_p) \times t_0, N) \\ &= q \end{aligned}$$

i.e., factor  $N$ , then compute  $d$ .

Notes:

► **Scenario:**

► given the following interaction between an **attacker**  $\mathcal{E}$  and a **target**  $\mathcal{T}$



```

1 algorithm AES-128.Enc(k, m) begin
2   s ← m
3   s ← AddRoundKey(s, k = rk(0))
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5     k ← EvolveRoundKey(k, rk(r))
6     s ← SubBytes(s)
7     s ← ShiftRows(s)
8     s ← MixColumns(s)
9     s ← AddRoundKey(s, k = rk(r))
10  end
11  k ← EvolveRoundKey(k, rk(10))
12  s ← SubBytes(s)
13  s ← ShiftRows(s)
14  s ← AddRoundKey(s, k = rk(10))
15  c ← s
16  return c
17 end
    
```

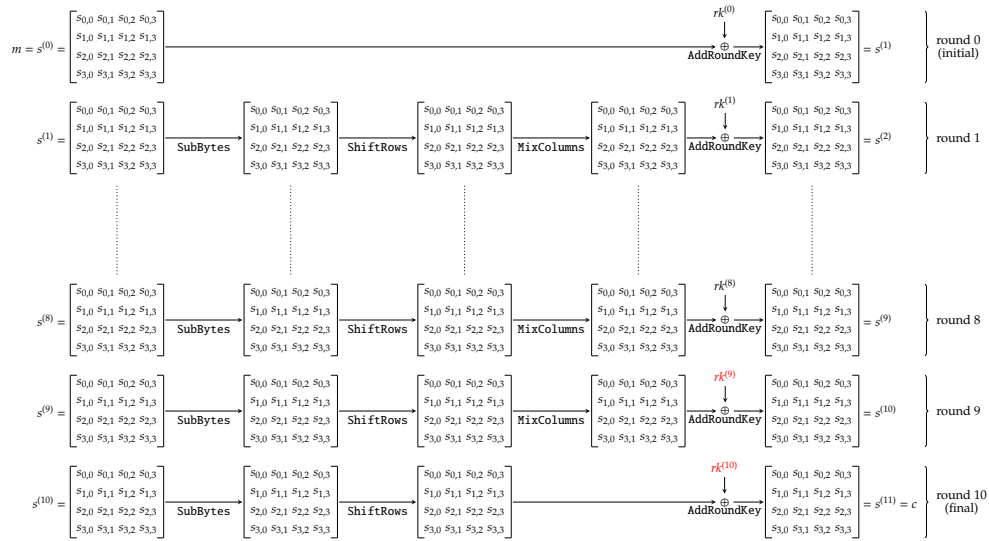
► and noting that

- there are no countermeasures implemented,
- the fault injected randomises  $s_{ij}^{(8)}$ , i.e., a chosen element of the state matrix used as input to the 8-th round,
- how can  $\mathcal{E}$  mount a successful attack, i.e., recover  $k$ ?

Notes:

Part 2.1: in practice (9)  
Attacks:  $\mathcal{T} \approx \text{AES}$ ,  $\Delta = \text{clock glitch}$

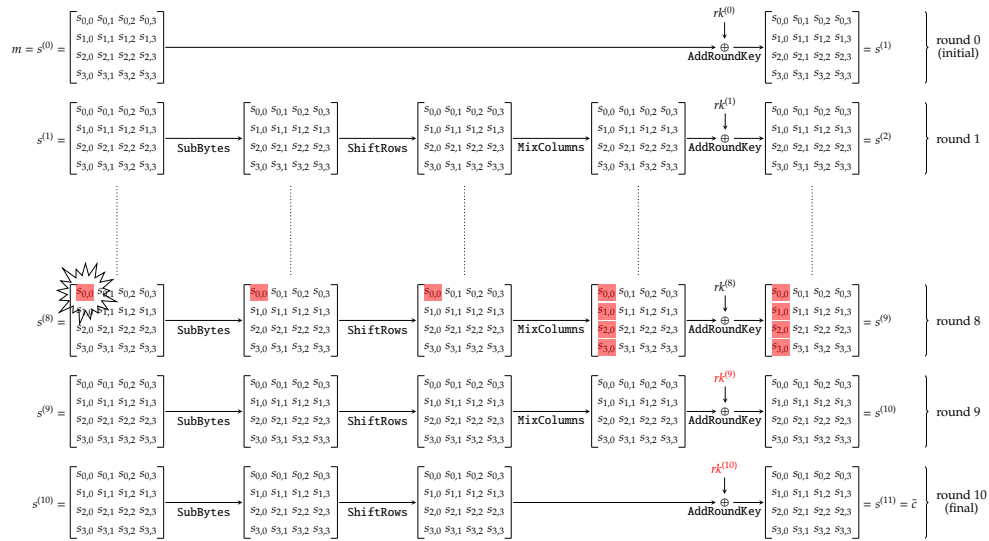
► Attack [14]:



Notes:

Part 2.1: in practice (9)  
Attacks:  $\mathcal{T} \approx \text{AES}$ ,  $\Delta = \text{clock glitch}$

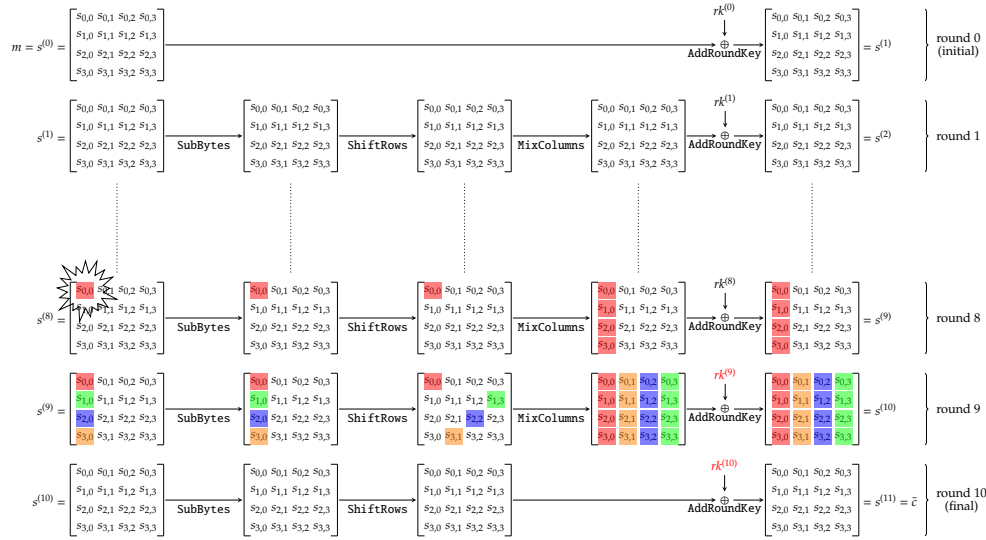
► Attack [14]:



Notes:

Part 2.1: in practice (9)  
 Attacks:  $\mathcal{T} \approx \text{AES}, \Delta = \text{clock glitch}$

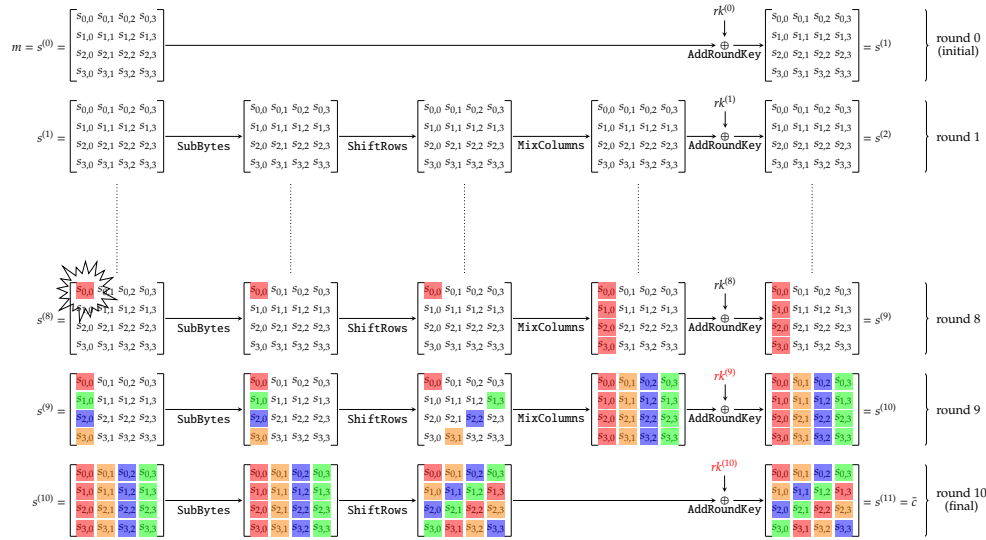
► Attack [14]:



Notes:

Part 2.1: in practice (9)  
 Attacks:  $\mathcal{T} \approx \text{AES}, \Delta = \text{clock glitch}$

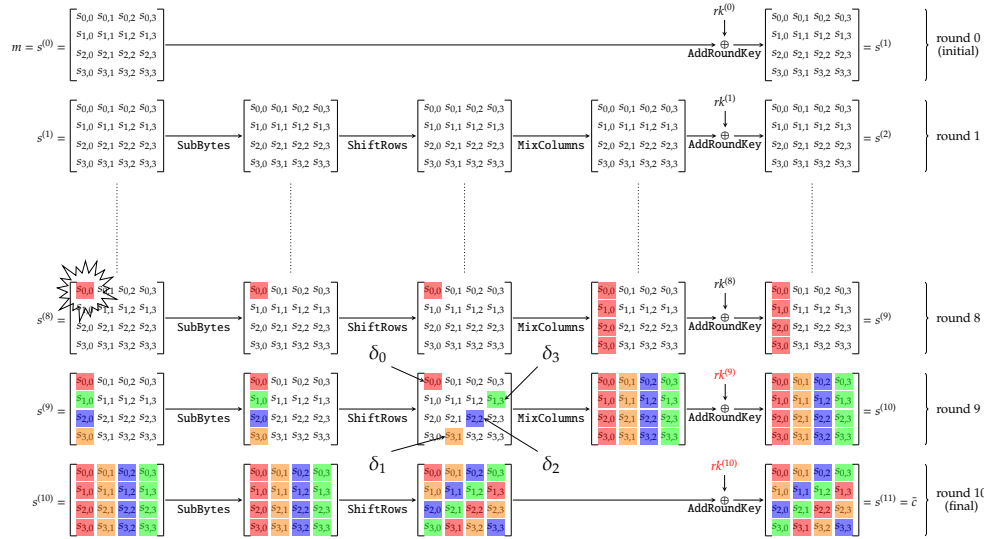
► Attack [14]:



Notes:

Part 2.1: in practice (9)  
Attacks:  $\mathcal{T} \approx \text{AES}, \Delta = \text{clock glitch}$

► Attack [14]:



Notes:

Part 2.1: in practice (9)  
Attacks:  $\mathcal{T} \approx \text{AES}, \Delta = \text{clock glitch}$

► Attack [14]:

► Step #1:

- consider a fault injected into the input of the 8-th round, such that

$$\begin{aligned} \tilde{c} &= \text{AES-128.Enc}(k, m) && \Leftarrow \text{fault injected} \\ c &= \text{AES-128.Enc}(k, m) && \Leftarrow \text{no fault injected} \end{aligned}$$

and focus on the state after ShiftRows in the 9-th round,

- formulate a set of constraints, e.g.,

$$\begin{aligned} 02 \otimes_{\mathbb{F}_{2^8}} \delta_0 &= \text{S-BOX}^{-1}(c_{0,0} \oplus_{\mathbb{F}_{2^8}} rk_{0,0}^{(10)}) \oplus_{\mathbb{F}_{2^8}} \text{S-BOX}^{-1}(\tilde{c}_{0,0} \oplus_{\mathbb{F}_{2^8}} rk_{0,0}^{(10)}) \\ 01 \otimes_{\mathbb{F}_{2^8}} \delta_0 &= \text{S-BOX}^{-1}(c_{1,3} \oplus_{\mathbb{F}_{2^8}} rk_{1,3}^{(10)}) \oplus_{\mathbb{F}_{2^8}} \text{S-BOX}^{-1}(\tilde{c}_{1,3} \oplus_{\mathbb{F}_{2^8}} rk_{1,3}^{(10)}) \\ 01 \otimes_{\mathbb{F}_{2^8}} \delta_0 &= \text{S-BOX}^{-1}(c_{2,2} \oplus_{\mathbb{F}_{2^8}} rk_{2,2}^{(10)}) \oplus_{\mathbb{F}_{2^8}} \text{S-BOX}^{-1}(\tilde{c}_{2,2} \oplus_{\mathbb{F}_{2^8}} rk_{2,2}^{(10)}) \\ 03 \otimes_{\mathbb{F}_{2^8}} \delta_0 &= \text{S-BOX}^{-1}(c_{3,1} \oplus_{\mathbb{F}_{2^8}} rk_{3,1}^{(10)}) \oplus_{\mathbb{F}_{2^8}} \text{S-BOX}^{-1}(\tilde{c}_{3,1} \oplus_{\mathbb{F}_{2^8}} rk_{3,1}^{(10)}) \end{aligned}$$

- noting that each group involves 32 bits of  $rk^{(10)}$ , these constraints yield a set of *initial* hypotheses for  $rk^{(10)}$ .

Notes:

► Attack [14]:

► Step #1:

- consider a fault injected into the input of the 8-th round, such that

$$\begin{aligned} \bar{c} &= \text{AES-128.Enc}(k, m) \iff \text{fault injected} \\ c &= \text{AES-128.Enc}(k, m) \iff \text{no fault injected} \end{aligned}$$

- and focus on the state after ShiftRows in the 9-th round,
- formulate a set of constraints, e.g.,

$$\begin{aligned} 03 \otimes_{\mathbb{F}_{2^8}} \delta_1 &= \text{S-BOX}^{-1}(c_{0,1} \oplus_{\mathbb{F}_{2^8}} rk_{0,1}^{(10)}) \oplus_{\mathbb{F}_{2^8}} \text{S-BOX}^{-1}(\bar{c}_{0,1} \oplus_{\mathbb{F}_{2^8}} rk_{0,1}^{(10)}) \\ 02 \otimes_{\mathbb{F}_{2^8}} \delta_1 &= \text{S-BOX}^{-1}(c_{1,0} \oplus_{\mathbb{F}_{2^8}} rk_{1,0}^{(10)}) \oplus_{\mathbb{F}_{2^8}} \text{S-BOX}^{-1}(\bar{c}_{1,0} \oplus_{\mathbb{F}_{2^8}} rk_{1,0}^{(10)}) \\ 01 \otimes_{\mathbb{F}_{2^8}} \delta_1 &= \text{S-BOX}^{-1}(c_{2,3} \oplus_{\mathbb{F}_{2^8}} rk_{2,3}^{(10)}) \oplus_{\mathbb{F}_{2^8}} \text{S-BOX}^{-1}(\bar{c}_{2,3} \oplus_{\mathbb{F}_{2^8}} rk_{2,3}^{(10)}) \\ 01 \otimes_{\mathbb{F}_{2^8}} \delta_1 &= \text{S-BOX}^{-1}(c_{3,2} \oplus_{\mathbb{F}_{2^8}} rk_{3,2}^{(10)}) \oplus_{\mathbb{F}_{2^8}} \text{S-BOX}^{-1}(\bar{c}_{3,2} \oplus_{\mathbb{F}_{2^8}} rk_{3,2}^{(10)}) \end{aligned}$$

- noting that each group involves 32 bits of  $rk^{(10)}$ , these constraints yield a set of *initial* hypotheses for  $rk^{(10)}$ .

Notes:

► Attack [14]:

► Step #1:

- consider a fault injected into the input of the 8-th round, such that

$$\begin{aligned} \bar{c} &= \text{AES-128.Enc}(k, m) \iff \text{fault injected} \\ c &= \text{AES-128.Enc}(k, m) \iff \text{no fault injected} \end{aligned}$$

- and focus on the state after ShiftRows in the 9-th round,
- formulate a set of constraints, e.g.,

$$\begin{aligned} 01 \otimes_{\mathbb{F}_{2^8}} \delta_2 &= \text{S-BOX}^{-1}(c_{0,2} \oplus_{\mathbb{F}_{2^8}} rk_{0,2}^{(10)}) \oplus_{\mathbb{F}_{2^8}} \text{S-BOX}^{-1}(\bar{c}_{0,2} \oplus_{\mathbb{F}_{2^8}} rk_{0,2}^{(10)}) \\ 03 \otimes_{\mathbb{F}_{2^8}} \delta_2 &= \text{S-BOX}^{-1}(c_{1,1} \oplus_{\mathbb{F}_{2^8}} rk_{1,1}^{(10)}) \oplus_{\mathbb{F}_{2^8}} \text{S-BOX}^{-1}(\bar{c}_{1,1} \oplus_{\mathbb{F}_{2^8}} rk_{1,1}^{(10)}) \\ 02 \otimes_{\mathbb{F}_{2^8}} \delta_2 &= \text{S-BOX}^{-1}(c_{2,0} \oplus_{\mathbb{F}_{2^8}} rk_{2,0}^{(10)}) \oplus_{\mathbb{F}_{2^8}} \text{S-BOX}^{-1}(\bar{c}_{2,0} \oplus_{\mathbb{F}_{2^8}} rk_{2,0}^{(10)}) \\ 01 \otimes_{\mathbb{F}_{2^8}} \delta_2 &= \text{S-BOX}^{-1}(c_{3,3} \oplus_{\mathbb{F}_{2^8}} rk_{3,3}^{(10)}) \oplus_{\mathbb{F}_{2^8}} \text{S-BOX}^{-1}(\bar{c}_{3,3} \oplus_{\mathbb{F}_{2^8}} rk_{3,3}^{(10)}) \end{aligned}$$

- noting that each group involves 32 bits of  $rk^{(10)}$ , these constraints yield a set of *initial* hypotheses for  $rk^{(10)}$ .

Notes:

► Attack [14]:

► Step #1:

- consider a fault injected into the input of the 8-th round, such that

$$\begin{aligned} \bar{c} &= \text{AES-128.Enc}(k, m) \iff \text{fault injected} \\ c &= \text{AES-128.Enc}(k, m) \iff \text{no fault injected} \end{aligned}$$

- and focus on the state after ShiftRows in the 9-th round,
- formulate a set of constraints, e.g.,

$$\begin{aligned} 01 \otimes_{\mathbb{F}_{2^8}} \delta_3 &= \text{S-BOX}^{-1}(c_{0,3} \oplus_{\mathbb{F}_{2^8}} rk_{0,3}^{(10)}) \oplus_{\mathbb{F}_{2^8}} \text{S-BOX}^{-1}(\bar{c}_{0,3} \oplus_{\mathbb{F}_{2^8}} rk_{0,3}^{(10)}) \\ 01 \otimes_{\mathbb{F}_{2^8}} \delta_3 &= \text{S-BOX}^{-1}(c_{1,2} \oplus_{\mathbb{F}_{2^8}} rk_{1,2}^{(10)}) \oplus_{\mathbb{F}_{2^8}} \text{S-BOX}^{-1}(\bar{c}_{1,2} \oplus_{\mathbb{F}_{2^8}} rk_{1,2}^{(10)}) \\ 03 \otimes_{\mathbb{F}_{2^8}} \delta_3 &= \text{S-BOX}^{-1}(c_{2,1} \oplus_{\mathbb{F}_{2^8}} rk_{2,1}^{(10)}) \oplus_{\mathbb{F}_{2^8}} \text{S-BOX}^{-1}(\bar{c}_{2,1} \oplus_{\mathbb{F}_{2^8}} rk_{2,1}^{(10)}) \\ 02 \otimes_{\mathbb{F}_{2^8}} \delta_3 &= \text{S-BOX}^{-1}(c_{3,0} \oplus_{\mathbb{F}_{2^8}} rk_{3,0}^{(10)}) \oplus_{\mathbb{F}_{2^8}} \text{S-BOX}^{-1}(\bar{c}_{3,0} \oplus_{\mathbb{F}_{2^8}} rk_{3,0}^{(10)}) \end{aligned}$$

- noting that each group involves 32 bits of  $rk^{(10)}$ , these constraints yield a set of *initial* hypotheses for  $rk^{(10)}$ .

Notes:

► Attack [14]:

► Step #1:

- consider a fault injected into the input of the 8-th round, such that

$$\begin{aligned} \bar{c} &= \text{AES-128.Enc}(k, m) \iff \text{fault injected} \\ c &= \text{AES-128.Enc}(k, m) \iff \text{no fault injected} \end{aligned}$$

- and focus on the state after ShiftRows in the 9-th round,
- formulate a set of constraints, e.g.,

$$\begin{aligned} 01 \otimes_{\mathbb{F}_{2^8}} \delta_3 &= \text{S-BOX}^{-1}(c_{0,3} \oplus_{\mathbb{F}_{2^8}} rk_{0,3}^{(10)}) \oplus_{\mathbb{F}_{2^8}} \text{S-BOX}^{-1}(\bar{c}_{0,3} \oplus_{\mathbb{F}_{2^8}} rk_{0,3}^{(10)}) \\ 01 \otimes_{\mathbb{F}_{2^8}} \delta_3 &= \text{S-BOX}^{-1}(c_{1,2} \oplus_{\mathbb{F}_{2^8}} rk_{1,2}^{(10)}) \oplus_{\mathbb{F}_{2^8}} \text{S-BOX}^{-1}(\bar{c}_{1,2} \oplus_{\mathbb{F}_{2^8}} rk_{1,2}^{(10)}) \\ 03 \otimes_{\mathbb{F}_{2^8}} \delta_3 &= \text{S-BOX}^{-1}(c_{2,1} \oplus_{\mathbb{F}_{2^8}} rk_{2,1}^{(10)}) \oplus_{\mathbb{F}_{2^8}} \text{S-BOX}^{-1}(\bar{c}_{2,1} \oplus_{\mathbb{F}_{2^8}} rk_{2,1}^{(10)}) \\ 02 \otimes_{\mathbb{F}_{2^8}} \delta_3 &= \text{S-BOX}^{-1}(c_{3,0} \oplus_{\mathbb{F}_{2^8}} rk_{3,0}^{(10)}) \oplus_{\mathbb{F}_{2^8}} \text{S-BOX}^{-1}(\bar{c}_{3,0} \oplus_{\mathbb{F}_{2^8}} rk_{3,0}^{(10)}) \end{aligned}$$

- noting that each group involves 32 bits of  $rk^{(10)}$ , these constraints yield a set of *initial* hypotheses for  $rk^{(10)}$ .

► Step #2: either

1. repeat step #1: successive faults, and so constraints, act to reduce the set of *initial* hypotheses,
2. perform brute-force search of  $\sim 2^{32}$  *initial* hypotheses,
3. perform brute-force search of  $\sim 2^8$  *filtered* hypotheses, produced by considering the relationship between  $rk^{(9)}$  and  $rk^{(10)}$  that stems from the key schedule.

Notes:



## Part 2.2: in practice (1)

Countermeasures:  $\mathcal{T} \approx \text{AES}$ ,  $\Lambda = \text{execution time}$

- **Problem:** data-dependent computation within

$$\text{xtime}(x) = x \otimes_{\mathbb{F}_{2^8}} x$$

is observable via  $\Lambda$ .

- **Idea: hiding**, e.g., via

1. original (i.e., no countermeasure):

$\text{xtime}(x) \mapsto$ 

```
1 uint8_t aes_gf28_mulx( uint8_t x ) {
2   if( ( x & 0x80 ) == 0x80 ) {
3     return 0x1B ^ ( x << 1 );
4   }
5   else {
6     return      ( x << 1 );
7   }
8 }
```

Notes:

## Part 2.2: in practice (1)

Countermeasures:  $\mathcal{T} \approx \text{AES}$ ,  $\Lambda = \text{execution time}$

- **Problem:** data-dependent computation within

$$\text{xtime}(x) = x \otimes_{\mathbb{F}_{2^8}} x$$

is observable via  $\Lambda$ .

- **Idea: hiding**, e.g., via

1. original (i.e., no countermeasure):

$\text{xtime}(x) \mapsto$ 

```
1 uint8_t aes_gf28_mulx( uint8_t x ) {
2   uint8_t c;
3
4   c = x >> 7;
5   x = x << 1;
6
7   if( c ) {
8     x = x ^ 0x1B;
9   }
10
11   return x;
12 }
```

Notes:

## Part 2.2: in practice (1)

Countermeasures:  $\mathcal{T} \approx \text{AES}$ ,  $\Lambda = \text{execution time}$

- **Problem:** data-dependent computation within

$$\mathbf{xtime}(x) = \mathbf{x} \otimes_{\mathbb{F}_2^8} x$$

is observable via  $\Lambda$ .

- **Idea: hiding**, e.g., via

1. balanced (via dummy XOR):

```

xtime(x)  ↦  {
1  uint8_t aes_gf28_mulx( uint8_t x ) {
2  uint8_t c;
3
4  c = x >> 7;
5  x = x << 1;
6
7  if( c ) {
8    x = x ^ 0x1B;
9  }
10 else {
11   x = x ^ 0x00;
12 }
13
14 return x;
15 }

```

although this assumes no, e.g., compiler-based strength reduction.

Notes:

## Part 2.2: in practice (1)

Countermeasures:  $\mathcal{T} \approx \text{AES}$ ,  $\Lambda = \text{execution time}$

- **Problem:** data-dependent computation within

$$\mathbf{xtime}(x) = \mathbf{x} \otimes_{\mathbb{F}_2^8} x$$

is observable via  $\Lambda$ .

- **Idea: hiding**, e.g., via

2. straight-line (via multiplexer):

```

xtime(x)  ↦  {
1  uint8_t aes_gf28_mulx( uint8_t x ) {
2  uint8_t c, t_0, t_1;
3
4  c = x >> 7;
5  x = x << 1;
6
7  t_0 = x ^ 0x00;
8  t_1 = x ^ 0x1B;
9
10 x = ( -c & ( t_0 ^ t_1 ) ) ^ t_0;
11
12 return x;
13 }

```

Notes:

## Part 2.2: in practice (1)

Countermeasures:  $\mathcal{T} \approx \text{AES}$ ,  $\Lambda = \text{execution time}$

- ▶ **Problem:** data-dependent computation within

$$\text{xtime}(x) = x \otimes_{\mathbb{F}_{2^8}} x$$

is observable via  $\Lambda$ .

- ▶ **Idea: hiding**, e.g., via

3. straight-line (via multiplexer):

```
xtime(x)  ↦  {
1  uint8_t aes_gf28_mulx( uint8_t x ) {
2  uint8_t c;
3
4     c = x >> 7;
5     x = x << 1;
6
7     x = x ^ ( c * 0x1B );
8
9     return x;
10 }
```

although this assumes data-oblivious, i.e., constant-latency, multiplication.

Notes:

## Part 2.2: in practice (1)

Countermeasures:  $\mathcal{T} \approx \text{AES}$ ,  $\Lambda = \text{execution time}$

- ▶ **Problem:** data-dependent computation within

$$\text{xtime}(x) = x \otimes_{\mathbb{F}_{2^8}} x$$

is observable via  $\Lambda$ .

- ▶ **Idea: hiding**, e.g., via

4. straight-line (via look-up):

```
xtime(x)  ↦  {
1  uint8_t aes_gf28_mulx( uint8_t x ) {
2  uint8_t c, T[ 2 ];
3
4     c = x >> 7;
5     x = x << 1;
6
7     T[ 0 ] = x ^ 0x00;
8     T[ 1 ] = x ^ 0x1B;
9
10    x = T[ c ];
11
12    return x;
13 }
```

although this assumes data-oblivious, i.e., constant-latency, memory access.

Notes:

## Part 2.2: in practice (2)

Countermeasures:  $\mathcal{T} \approx$  AES,  $\Lambda$  = power consumption

- ▶ **Problem:** data-dependent computation within

$\text{SubBytes}(s^{(r)})$

is observable via  $\Lambda$ .

- ▶ **Idea: hiding**, e.g., via

1. original (i.e., no countermeasure):

$\text{SubBytes}(s^{(r)}) \mapsto$ 

```
1 void aes_enc_rnd_sub( uint8_t* s ) {
2   for( int i = 0; i < 16; i++ ) {
3     s[ i ] = aes_enc_sbox( s[ i ] );
4   }
5 }
```

Notes:

## Part 2.2: in practice (2)

Countermeasures:  $\mathcal{T} \approx$  AES,  $\Lambda$  = power consumption

- ▶ **Problem:** data-dependent computation within

$\text{SubBytes}(s^{(r)})$

is observable via  $\Lambda$ .

- ▶ **Idea: hiding**, e.g., via

2. temporal padding  $\Rightarrow$  random delay:

$\text{SubBytes}(s^{(r)}) \mapsto$ 

```
1 void aes_enc_rnd_sub( uint8_t* s ) {
2   delay( prng() );
3
4   for( int i = 0; i < 16; i++ ) {
5     s[ i ] = aes_enc_sbox( s[ i ] );
6   }
7 }
```

Notes:

## Part 2.2: in practice (2)

Countermeasures:  $\mathcal{T} \approx$  AES,  $\Lambda$  = power consumption

- ▶ **Problem:** data-dependent computation within

$\text{SubBytes}(s^{(r)})$

is observable via  $\Lambda$ .

- ▶ **Idea: hiding**, e.g., via

3. temporal reordering  $\Rightarrow$  random start index:

$\text{SubBytes}(s^{(r)}) \mapsto$

```
1 void aes_enc_rnd_sub( uint8_t* s ) {
2   int r = prng();
3
4   for( int i = 0; i < 16; i++ ) {
5     int j = ( i + r ) % 16;
6
7     s[ j ] = aes_enc_sbox( s[ j ] );
8   }
9 }
```

Notes:

## Part 2.2: in practice (2)

Countermeasures:  $\mathcal{T} \approx$  AES,  $\Lambda$  = power consumption

- ▶ **Problem:** data-dependent computation within

$\text{SubBytes}(s^{(r)})$

is observable via  $\Lambda$ .

- ▶ **Idea: hiding**, e.g., via

4. temporal reordering  $\Rightarrow$  random permutation  $\Rightarrow$  lower-quality, lower-overhead:

$\text{SubBytes}(s^{(r)}) \mapsto$

```
1 void aes_enc_rnd_sub( uint8_t* s ) {
2   int r = prng();
3
4   for( int i = 0; i < 16; i++ ) {
5     int j = ( i ^ r ) % 16;
6
7     s[ j ] = aes_enc_sbox( s[ j ] );
8   }
9 }
```

Notes:

## Part 2.2: in practice (2)

Countermeasures:  $\mathcal{T} \approx \text{AES}$ ,  $\Lambda = \text{power consumption}$

- ▶ **Problem:** data-dependent computation within

$$\text{SubBytes}(s^{(r)})$$

is observable via  $\Lambda$ .

- ▶ **Idea: hiding**, e.g., via

5. temporal reordering  $\Rightarrow$  random permutation  $\Rightarrow$  higher-quality, higher-overhead:

$\text{SubBytes}(s^{(r)}) \mapsto$

```
1 void aes_enc_rnd_sub( uint8_t* s ) {
2   int T[ 16 ];
3
4   for( int i = 15; i >= 0; i-- ) {
5     T[ i ] = i;
6   }
7
8   for( int i = 15; i >= 1; i-- ) {
9     int j = prng() % ( i + 1 );
10
11     uint8_t t      = T[ j ];
12             T[ j ] = T[ i ];
13             T[ i ] = t      ;
14   }
15
16   for( int i = 0; i < 16; i++ ) {
17     int j = T[ i ];
18
19     s[ j ] = aes_enc_sbox( s[ j ] );
20   }
21 }
```

Notes:

## Part 2.2: in practice (3)

Countermeasures:  $\mathcal{T} \approx \text{AES}$ ,  $\Lambda = \text{power consumption}$

- ▶ **Problem:** data-dependent computation within

$$\text{AES-128.ENC}(k, m)$$

is observable via  $\Lambda$ .

- ▶ **Idea:** (e.g., Boolean) **masking**.

- ▶ use a randomised, redundant representation

$$x \mapsto \hat{x} = \langle \hat{x}[0], \hat{x}[1], \dots, \hat{x}[d] \rangle,$$

i.e., as  $d + 1$  statistically independent shares, such that

$$x = \bigoplus_{i=0}^{i \leq d} \hat{x}[i],$$

- ▶ computation of some functionality

$$r = f(x)$$

can be described as three high-level steps:

1.  $x$  is masked to yield  $\hat{x}$ ,
2. an alternative but compatible functionality  $\hat{r} = \hat{f}(\hat{x})$  is executed, then
3.  $\hat{r}$  is unmasked to yield  $r$ .

Notes:

## Part 2.2: in practice (4)

Countermeasures:  $\mathcal{T} \approx$  AES,  $\Lambda$  = power consumption

- ▶ **Problem:** data-dependent computation within

$$\text{AES-128.ENC}(k, m)$$

is observable via  $\Lambda$ .

- ▶ **Idea** [8, Section 3.1] (or see [2, Chapter 9]):

- ▶ **Step #1:**

1. generate random input ( $\mu_0, \mu_1, \mu_2, \mu_3$ , and  $\mu_4$ ) and output ( $v_4$ ) masks,
2. pre-compute output masks

$$\begin{bmatrix} v_0 \\ v_1 \\ v_2 \\ v_3 \end{bmatrix} = \text{MixColumn} \left( \begin{bmatrix} \mu_0 \\ \mu_1 \\ \mu_2 \\ \mu_3 \end{bmatrix} \right),$$

3. pre-compute a masked S-box, i.e.,  $\text{S-box}_{\mu_4}(x \oplus \mu_4) = \text{S-box}(x) \oplus v_4$ .

Notes:

## Part 2.2: in practice (4)

Countermeasures:  $\mathcal{T} \approx$  AES,  $\Lambda$  = power consumption

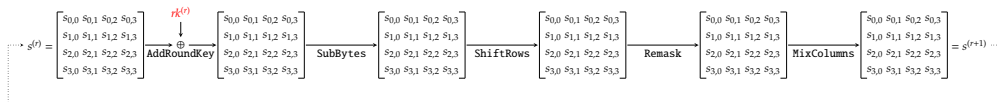
- ▶ **Problem:** data-dependent computation within

$$\text{AES-128.ENC}(k, m)$$

is observable via  $\Lambda$ .

- ▶ **Idea** [8, Section 3.1] (or see [2, Chapter 9]):

- ▶ **Step #2:** construct a masked round implementation



Notes:

## Part 2.2: in practice (4)

Countermeasures:  $\mathcal{T} \approx \text{AES}$ ,  $\Lambda = \text{power consumption}$

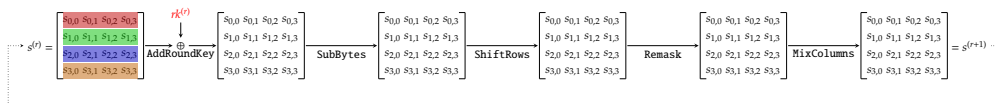
- **Problem:** data-dependent computation within

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is observable via  $\Lambda$ .

- **Idea** [8, Section 3.1] (or see [2, Chapter 9]):

- **Step #2:** construct a masked round implementation



such that  $s_{i,j}^{(r)}$  is masked with  $v_i$ .

Notes:

## Part 2.2: in practice (4)

Countermeasures:  $\mathcal{T} \approx \text{AES}$ ,  $\Lambda = \text{power consumption}$

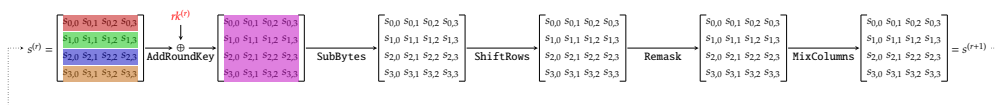
- **Problem:** data-dependent computation within

$$\text{AES-128.ENC}(k, m)$$

is observable via  $\Lambda$ .

- **Idea** [8, Section 3.1] (or see [2, Chapter 9]):

- **Step #2:** construct a masked round implementation



such that  $s_{i,j}^{(r)}$  is masked with  $\mu_4$  due to alteration of key schedule (and thus  $rk^{(r)}$ ).

Notes:



## Part 2.2: in practice (4)

Countermeasures:  $\mathcal{T} \approx \text{AES}$ ,  $\Lambda = \text{power consumption}$

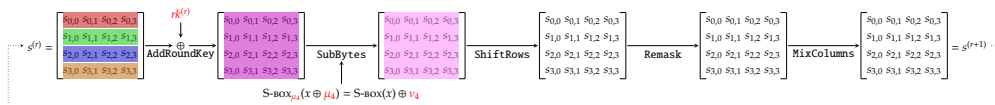
- **Problem:** data-dependent computation within

$$\text{AES-128.ENC}(k, m)$$

is observable via  $\Lambda$ .

- **Idea** [8, Section 3.1] (or see [2, Chapter 9]):

- **Step #2:** construct a masked round implementation



such that  $s_{i,j}^{(r)}$  is masked with  $v_4$  due to alteration of S-BOX (i.e., use of  $S\text{-BOX}_{\mu_4}$ ).

Notes:

## Part 2.2: in practice (4)

Countermeasures:  $\mathcal{T} \approx \text{AES}$ ,  $\Lambda = \text{power consumption}$

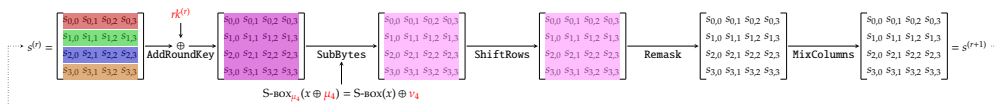
- **Problem:** data-dependent computation within

$$\text{AES-128.ENC}(k, m)$$

is observable via  $\Lambda$ .

- **Idea** [8, Section 3.1] (or see [2, Chapter 9]):

- **Step #2:** construct a masked round implementation



such that  $s_{i,j}^{(r)}$  is (still) masked with  $v_4$ .

Notes:

## Part 2.2: in practice (4)

Countermeasures:  $\mathcal{T} \approx \text{AES}$ ,  $\Lambda = \text{power consumption}$

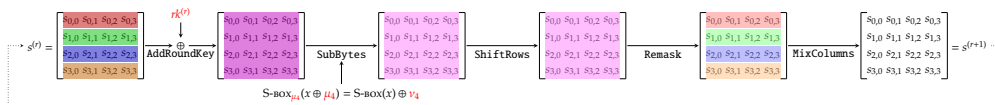
- **Problem:** data-dependent computation within

$$\text{AES-128.ENC}(k, m)$$

is observable via  $\Lambda$ .

- **Idea** [8, Section 3.1] (or see [2, Chapter 9]):

- **Step #2:** construct a masked round implementation



such that  $s_{i,j}^{(r)}$  is masked with  $\mu_i$  due to addition of Remask.

Notes:

## Part 2.2: in practice (4)

Countermeasures:  $\mathcal{T} \approx \text{AES}$ ,  $\Lambda = \text{power consumption}$

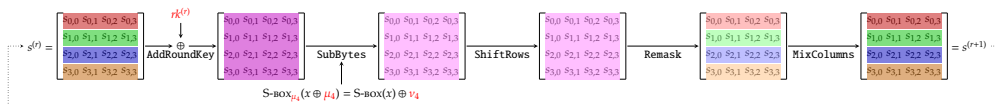
- **Problem:** data-dependent computation within

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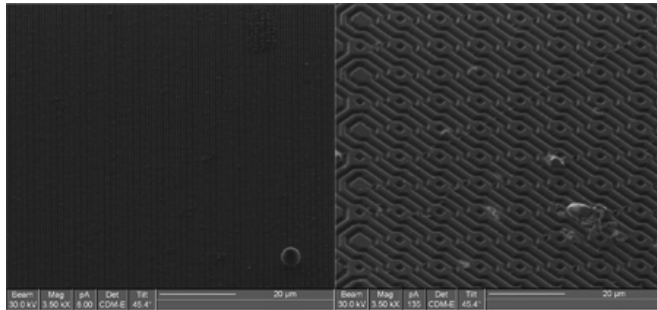
such that  $s_{i,j}^{(r)}$  is masked with  $v_i$  due to alteration of MixColumns: this makes iteration possible.

Notes:

## Part 2.2: in practice (5)

Countermeasures:  $\mathcal{T} \approx \text{AES}$ ,  $\Delta = \text{laser pulse}$

- ▶ **Problem:**  $\Delta$  can be used to influence, e.g., corrupt  $s^{(r)}$ .
- ▶ **Idea:** prevent physical access to device via a **mesh** (or **shield**).



i.e., a top-layer of metal which can be classed as

1. passive (or analogue), or
2. active (or digital).

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Applied Cryptology

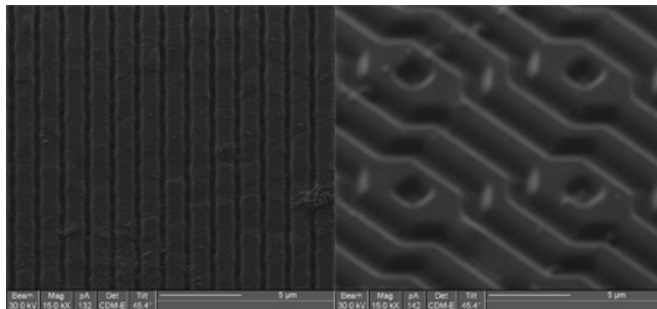
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Notes:

Notes:

## Part 2.2: in practice (6)

Countermeasures:  $\mathcal{T} \approx \text{AES}$ ,  $\Delta = \text{laser pulse}$

► **Problem:**  $\Delta$  can be used to influence, e.g., corrupt  $s^{(r)}$ .

► **Idea [5]:**

► for  $x \in \mathbb{F}_2^8$ , let

$$\check{x} = \text{PAR}(x) = \bigoplus_{i=0}^{i<8} x_i$$

denote the (even) **parity bit** for  $x$ ,

let

$$\zeta^{(r)} = \begin{bmatrix} \zeta_{0,0}^{(r)} & \zeta_{0,1}^{(r)} & \zeta_{0,2}^{(r)} & \zeta_{0,3}^{(r)} \\ \zeta_{1,0}^{(r)} & \zeta_{1,1}^{(r)} & \zeta_{1,2}^{(r)} & \zeta_{1,3}^{(r)} \\ \zeta_{2,0}^{(r)} & \zeta_{2,1}^{(r)} & \zeta_{2,2}^{(r)} & \zeta_{2,3}^{(r)} \\ \zeta_{3,0}^{(r)} & \zeta_{3,1}^{(r)} & \zeta_{3,2}^{(r)} & \zeta_{3,3}^{(r)} \end{bmatrix}$$

be the **parity matrix** associated with  $s^{(r)}$ , such that

$$\zeta_{ij}^{(r)} = \text{PAR}\left(s_{ij}^{(r)}\right)$$

and similarly for each round key  $rk^{(r)}$ .

Notes:

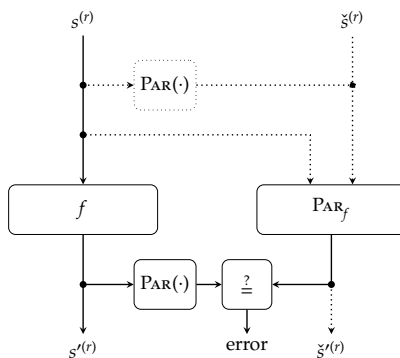
## Part 2.2: in practice (6)

Countermeasures:  $\mathcal{T} \approx \text{AES}$ ,  $\Delta = \text{laser pulse}$

► **Problem:**  $\Delta$  can be used to influence, e.g., corrupt  $s^{(r)}$ .

► **Idea [5]:**

► we can predict and check parity matrix per



at say a

1. round function,
2. round, or
3. encryption/decryption

granularity: we just need a  $\text{PAR}_f$  for each  $f$  ...

Notes:

## Part 2.2: in practice (6)

Countermeasures:  $\mathcal{T} \approx \text{AES}$ ,  $\Delta = \text{laser pulse}$

► **Problem:**  $\Delta$  can be used to influence, e.g., corrupt  $s^{(r)}$ .

► **Idea [5]:**

► for  $x, y \in \mathbb{F}_{2^8}$ , note that we have

$$\begin{aligned}\text{PAR}(x \oplus_{\mathbb{F}_{2^8}} y) &= \text{PAR}(x) \oplus \text{PAR}(y) \\ \text{PAR}(\mathbf{01} \otimes_{\mathbb{F}_{2^8}} x) &= \text{PAR}(x) \\ \text{PAR}(\mathbf{02} \otimes_{\mathbb{F}_{2^8}} x) &= \text{MSB}(x) \oplus \text{PAR}(x) \\ \text{PAR}(\mathbf{03} \otimes_{\mathbb{F}_{2^8}} x) &= \text{MSB}(x)\end{aligned}$$

Notes:

## Part 2.2: in practice (6)

Countermeasures:  $\mathcal{T} \approx \text{AES}$ ,  $\Delta = \text{laser pulse}$

► **Problem:**  $\Delta$  can be used to influence, e.g., corrupt  $s^{(r)}$ .

► **Idea [5]:**

► putting everything together, we construct and use

$$\begin{aligned}\text{PAR}_{\text{KEY-ADDITION}} &: \text{ compute } \check{s}_{i,j}^{(r)} \oplus r k_{i,j}^{(r)} \\ \text{PAR}_{\text{SHIFT-ROWS}} &: \text{ rotate each row of } \check{s}_{i,j}^{(r)} \\ \text{PAR}_{\text{SUB-BYTES}} &: \text{ compute } \text{PAR}(\text{S-BOX}(s_{i,j}^{(r)})) \\ \text{PAR}_{\text{MIX-COLUMNS}} &: \text{ apply } \text{PAR}_{\text{MIX-COLUMN}} \text{ to each column of } \check{s}_{i,j}^{(r)}\end{aligned}$$

where

$$\begin{bmatrix} \check{s}_{0,j}^{(r)} \\ \check{s}_{1,j}^{(r)} \\ \check{s}_{2,j}^{(r)} \\ \check{s}_{3,j}^{(r)} \end{bmatrix} = \text{PAR}_{\text{MIX-COLUMN}} \left( \begin{bmatrix} \check{s}_{0,j}^{(r)} \\ \check{s}_{1,j}^{(r)} \\ \check{s}_{2,j}^{(r)} \\ \check{s}_{3,j}^{(r)} \end{bmatrix} \right) = \begin{bmatrix} \left( \text{MSB}(s_{0,j}^{(r)}) \oplus \check{s}_{0,j}^{(r)} \right) \oplus \text{MSB}(s_{1,j}^{(r)}) \oplus \check{s}_{2,j}^{(r)} \oplus \check{s}_{3,j}^{(r)} \\ \check{s}_{0,j}^{(r)} \oplus \left( \text{MSB}(s_{1,j}^{(r)}) \oplus \check{s}_{1,j}^{(r)} \right) \oplus \text{MSB}(s_{2,j}^{(r)}) \oplus \check{s}_{3,j}^{(r)} \\ \check{s}_{0,j}^{(r)} \oplus \check{s}_{1,j}^{(r)} \oplus \left( \text{MSB}(s_{2,j}^{(r)}) \oplus \check{s}_{2,j}^{(r)} \right) \oplus \text{MSB}(s_{3,j}^{(r)}) \\ \text{MSB}(s_{0,j}^{(r)}) \oplus \check{s}_{1,j}^{(r)} \oplus \check{s}_{2,j}^{(r)} \oplus \left( \text{MSB}(s_{3,j}^{(r)}) \oplus \check{s}_{3,j}^{(r)} \right) \end{bmatrix}$$

Notes:

## Conclusions

### ► Take away points:

- For  $\mathcal{E}$ , **this is fun!**
  - can ignore the rules (cf. do whatever possible, versus what is modelled),
  - less and less “low hanging fruit”, but still lots of opportunity,
  - more and more applicability at scale,
  - ...
- For  $\mathcal{T}$ , **this can be really difficult!**
  - implications go beyond technical, into, e.g., reputational,
  - many general principles apply, but often specific details matter,
  - need to consider multiple layers of abstraction,
  - satisfactory trade-offs (e.g., efficiency versus security) are challenging,
  - raise problematic questions re. development practice, supply-chain, etc.
  - ...

Notes:

## Additional Reading

- S. Mangard, E. Oswald, and T. Popp. *Power Analysis Attacks: Revealing the Secrets of Smart Cards*. Springer, 2007.
- P.C. Kocher et al. “Introduction to differential power analysis”. In: *Journal of Cryptographic Engineering (JCEN)* 1.1 (2011), pp. 5–27.
- M. Joye and M. Tunstall, eds. *Fault Analysis in Cryptography*. Information Security and Cryptography. Springer, 2012.
- H. Bar-El et al. “The Sorcerer’s Apprentice Guide to Fault Attacks”. In: *Proceedings of the IEEE* 94.2 (2006), pp. 370–382.
- A. Barenghi et al. “Fault Injection Attacks on Cryptographic Devices: Theory, Practice, and Countermeasures”. In: *Proceedings of the IEEE* 100.11 (2012), pp. 3056–3076.
- D. Karaklajić, J.-M. Schmidt, and I. Verbauwhede. “Hardware Designer’s Guide to Fault Attacks”. In: *IEEE Transactions on Very Large Scale Integration (VLSI) Systems* 21.12 (2013), pp. 2295–2306.
- B. Yuce, P. Schaumont, and M. Witteman. “Fault Attacks on Secure Embedded Software: Threats, Design, and Evaluation”. In: *Journal of Hardware and Systems Security* 2.2 (2018), pp. 111–130.

Notes:

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- [2] S. Mangard, E. Oswald, and T. Popp. *Power Analysis Attacks: Revealing the Secrets of Smart Cards*. Springer, 2007 (see pp. 121, 123, 125, 127, 129, 131, 133, 135, 151).
- [3] H. Bar-El et al. “The Sorcerer’s Apprentice Guide to Fault Attacks”. In: *Proceedings of the IEEE* 94.2 (2006), pp. 370–382 (see p. 151).
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- [9] D. Karaklajić, J.-M. Schmidt, and I. Verbauwhede. “Hardware Designer’s Guide to Fault Attacks”. In: *IEEE Transactions on Very Large Scale Integration (VLSI) Systems* 21.12 (2013), pp. 2295–2306 (see p. 151).
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